Introduced November 28, 1978 by Councilman Wood; seconded by Councilman Barthelemy. Amended December 26, 1978.

Item number 78-11-45

ORDINANCE

NO. 1105

CODE OF ETHICS

BE IT ORDAINED by the City Council of the City of Slidell:

Section 1. Declaration of Policy

The proper operation of the municipal government requires that officers, officials, and employees of the City be independent, impartial and responsible to the people; that decisions and policy be made in the best interest of the people, the community, and the government; and that the public have confidence in the integrity of its government.

Section 2. Scope of the Code

This code shall apply to all officers, officials and employees of the City, whether elected or appointed, whether paid or unpaid and the code applies to the members of any board, committee or commission of the City. The judge of the Slidell City Court is expressly excluded from the provisions of this ordinance.

Section 3. Definitions

(a) "Interest" means direct or indirect financial or personal benefit accruing to a public officer or employee as a result of a contract or transaction which is or may be the subject of an official act or action by or with the City except for such contracts or transactions which by their terms and by the substance of their provisions confer the opportunity and right to realize the accrual of similar benefits to all other persons and/or property similarly situated.

For the purpose of this code, a public officer or employee shall be deemed to have a personal interest in the affairs of:

(1) the following persons, whether related to him by blood or marriage: parent, grandparent; child; grandchild; uncle of the first degree; aunt of the first degree; first cousin; spouse; niece or nephew.

- (2) Any person or business entity with whom a contractual relationship exists with the public officer or employee.
- (3) Any business entity in which the public officer or employee is an officer, director, or employed by.
- (4) Any business entity in which the stock of, or legal or beneficial ownership of, in excess of five (5%) percent of the total stock or total legal and beneficial ownership, is controlled or owned directly or indirectly by the public officer or employee.
- (b) "Official act or action" means any legislative, administrative, appointive or discretionary act of any officer or employee of the City or any agency, board, committee or commission thereof.
- (c) "Business entity" means any business, proprietorship, firm, partnership, person in representative or fiduciary capacity, association, venture, trust or corporation.
- (d) "Public officer or employee" means any person, officer or employee holding a position by election, appointment, or employment in the service of the municipality, whether paid or unpaid, including members of any board, committee or commission thereof.

Section 4. Code of Ethics

- (a) The requirements herein set forth shall constitute a code of ethics establishing reasonable standards and guidelines for the ethical conduct of public officers and employees of the City.
- (b) Interest in contract or transaction. No public officer or employee having the power or duty to perform an official act or action, related to a contract or transaction which is the subject of an official act or action of the City, shall
- (1) have or thereafter acquire an interest in such contract or transaction, or

- (2) have an interest in any business entity representing, advising, or appearing on behalf of, whether paid or unpaid, any person involved in such contract or transaction, or
- (3) have accepted present or future employment with a person or business entity involved in such contract or transaction, or
- (4) have solicited, accepted or granted a present or future gift, or favor from or to a person involved in such contract or transaction, except as provided in Section 4(c).
- (c) The prohibition against gifts or favors in Section 4(b) shall not apply to:
- (1) an occasional non-pecuniary gift worth less than fifty (\$50.00) dollars.
- (2) an award publicly presented in recognition of public service.
- (3) any gift which would have been offered or given to him if he were not a public official or employee,
 - (4) any campaign contribution, or
- (5) entertainment, to include meals and drinks, not to exceed the sum of one hundred (\$100.00) dollars a day.
- (d) Preacquisition of interest. No public officer or employee, with respect to any contract or transaction which is or may be the subject of an official act or action of the City, shall acquire an interest in such contract or transaction at a time when the public employee believes or has reason to believe that it will directly or indirectly be affected by an official act or action of the City.
- (e) Disclosure of information. No public officer or employee shall, without proper legal authorization, disclose confidential information concerning the property, government or affairs of the City, or use such information to advance the financial or other private interest of himself or others.
- (f) Incompatible service. No public officer or employee shall engage in private employment when such employment

is compatible with the proper discharge of his official duties, unless otherwise permitted by law and unless disclosure is made as provided in this code.

- (g) Public contracts. No public officer or employee who in his capacity as such officer or employee participates in the making of a contract in which he has a private pecuniary interest, direct or indirect, or performs in regard to that contract some function requiring the exercise of discretion on his part, shall enter into any contract with the City unless:
- (1) the contract is awarded through a process of public notice and competitive bidding, or
- (2) The ethics board waives the requirement of this Section after determining that it is in the best interest of the City to do so.
- (h) Public property. No public officer or employee shall request or permit the unauthorized use of City-owned vehicles, equipment, materials, or property for personal convenience or profit. The term "personal convenience" shall not apply to Police vehicles assigned to Police employees by the Chief of Police when these vehicles are operated within the policy of the Slidell Police Department.

Section 5. Exceptions to Code.

- (a) It shall not be deemed a violation of the standards of the Code if the interest of a public officer or employee in a person or business entity is a contractual obligation of less than \$500.00 which has not been preceded by any other obligation, discharged, or existing, between the parties, and which is not the first in a series of two or more loans or debts which either of the parties is under an obligation to make or incur.
- (b) A commercially reasonable loan made in the ordinary course of business shall not be deemed to create an interest in violation of this Code.
 - (c) A contract for a commercial retail sale, even

67 68 though over the value of \$500.00, shall not be deemed to create an interest in violation of this Code.

Section 6. Disclosure of Interest in Legislative Action.

- (a) Any member of the council who has a financial interest or personal interest in any proposed legislation before the council shall disclose on the record of the council the nature and extent of such interest.
- (b) Any other official or employee who has a financial or personal interest in any proposed legislative action of the council and who participates in discussion with or gives an official opinion or recommendation to the council, shall disclose on the record of the council the nature and extent of such interest.

Section 7. Ethics Board.

- (a) There is hereby created an ethics board to consist of nine (9) members. The membership of the ethics board shall consist of nine (9) electors who shall be domiciled in and residents of the City of Slidell. The city attorney shall be the legal advisor of the ethics board.
- (b) The members of the ethics board shall be appointed by the City Council. Terms of office shall be three (3) years, except that when the initial appointments are made, three (3) members shall be appointed for one year, three (3) for two (2) years, and three (3) for three (3) years.
- (c) The ethics board shall elect its own chairman and vice chairman and shall develop written rules of procedure which shall be submitted to the City Council for approval.
- (d) The ethics board may make recommendations with respect to amendments to this code of ethics ordinance.
- (e) Upon the sworn complaint of any two persons unrelated to each other by blood or marriage alleging facts which, if true, would constitute improper conduct under the provisions of this ordinance, the board shall conduct a private hearing. The persons filing the complaint must be willing to appear before the ethics board to testify in support of their complaint under oath.

 The ethics board may, in the course of the hearing, or otherwise, consult with all persons in any way involved in any complaint before them and the person against whom any such complaint has been filed shall have the right to counsel and to call witnesses in his own behalf. Following the hearing, the ethics board shall, in written findings of fact and conclusions based thereon, make a determination concerning the propriety of the conduct of the subject official or employee and, if appropriate, refer the matter to the City Council.

(f) Any person or persons filing a complaint which is adjudged by the ethics board to be without foundation in fact shall be subject to the penalties provided in Section 12, herein, for the violation of this ordinance.

Section 8. Prohibition of Appointment of Spouse.

No spouse of a public officer or employee shall accept or serve on any board, commission or committee of the City. This Section shall not be applied to appointments made prior to January 1, 1979.

Section 9. Advisory Opinions.

- (a) Where any public officer or employee has a doubt as to the applicability of any provision of this code to a particular situation, or as to the definition of terms used herein, he may apply to the ethics board for an advisory opinion. The officer or employee shall have the opportunity to present his interpretation of the facts at issue and of the applicability of provisions of the code before such advisory opinion is made.
- (b) Such opinion until amended or revoked shall be binding on the City, the City Council, and the ethics board in any subsequent actions concerning the public officer or employee who sought the opinion and acted on it in good faith, unless material facts were omitted or misstated in the request for the advisory opinion.
 - (c) Any advisory opinion prepared by the ethics

board shall be made public. However, the name of the person requesting the opinion and the names of all persons or business entities mentioned in the opinion shall be deemed confidential information and shall not be disclosed by the ethics board unless the public officer or employee waives such confidentiality or where the ethics board deems the public official to have failed to act in good faith in requesting the opinion or in conforming with the opinion or to have failed to act in conformance with the opinion.

Section 10. Disclosure of Certain Financial Interest.

In addition to the financial disclosure required by Section 4, the following persons shall file an initial statement of economic interests within a time period specified by the ethics board. Each person required to file such statements shall file updated statements no later than April 30 of each year. The following persons are:

- (a) all elected officials;
- (b) city attorney;
- (c) director of finance;
- (d) permit officer;
- (e) clerk of the City Council;
- (f) executive assistant to the Mayor;
- (g) all members of the planning commission;
- (h) all members of the board of zoning adjustment;
- (i) city engineer;
- (j) city planner;
- (k) purchasing agent;

Section 11. Form of Financial Statement.

The person filing any statement of economic interests under this ordinance shall file the statement on a form prescribed by the ethics board and shall supply the following information to the board:

(a) the name and address of the person, spouse, and minor children, and all names they use in business or any professional practice;

- (b) the name of all corporations and other business interests in which the person, spouse or minor children hold any office, or directorship or own or control, directly or indirectly, more than five (5%) percent of the outstanding stock or more than five (5%) percent of other business ownership;
- (c) the names of the employers of the person and spouse and a brief statement of the nature of the employment;
- (d) a description of all parcels of real estate within the parish in which the person, spouse, or minor children own any interest, including an option to purchase; and
- (e) any business or occupational license issued by the City to the person, spouse, or minor children.

Section 12. Penalties; Exemptions; Injunction.

- (a) Any public officer or employee who willfully and knowingly violates any of the provisions of this ordinance shall be guilty of a misdemeanor and upon conviction may be fined not more than \$500.00, or be imprisoned for not more than 30 days, or both.
- (b) The ethics board, with the consent of the City Council, may exempt from the provisions of this ordinance any conduct found to constitute a violation by a public officer or employee, if it finds that the enforcement of this ordinance with respect to such conduct is not necessary in the public interest.

Section 13. Distribution of Code of Ethics.

A copy of this code of ethics is to be distributed to every public officer and employee of the City within thirty (30) days after enactment of this code. Each public officer and employee elected, appointed, or engaged thereafter shall be furnished a copy before entering upon the duties of his office or employment.

Section 14. Separability.

If any provision of this ordinance is found by a court of competent jurisdiction to be invalid or unconstitutional, or if the application of this ordinance to any person or circumstances

is found to be invalid or unconstitutional, such invalidity or unconstitutionality shall not affect the other provisions or applications of this code which can be given effect without the invalid or unconstitutional provision or application.

Section 15. Conflict Between Charter and Existing Ordinance.

Should there be any conflict between this code of ethics ordinance and an existing or subsequent ordinance or should there be any conflict between this code of ethics ordinance and the Slidell City Charter, the provisions of the existing or subsequent ordinance and the Slidell City Charter shall prevail over those provisions contained in this code of ethics ordinance.

ADOPTED this 26th day of December, 1978.

DELIVERED Micenter 29th 1978

2:30 m to the Mayor

RECEIVED De Cember 28th 1978

10:00 m from the Mayor

Alvin D. Singletary Councilman-at-Large President of the Council

M. W. Hart Mayor

John Swenson CMC Clerk of Council